

**Function as a Service**  
General Principles, Container Virtualization, OpenFaaS,  
OpenWhisk – Winter Term 2018

Henry-Norbert Cocos  
cocos@stud.fra-uas.de

Computer Science  
Faculty of Computer Science and Engineering  
**Frankfurt University of Applied Sciences**



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# Docker



Figure: Docker

Source:

[https://www.docker.com/  
brand-guidelines](https://www.docker.com/brand-guidelines)

## Docker

- Released by dotCloud 2013
- Enables Container Virtualization
- A more advanced form of Application Virtualization
- Available for:  
Linux, MacOS, Windows

# Docker Architecture

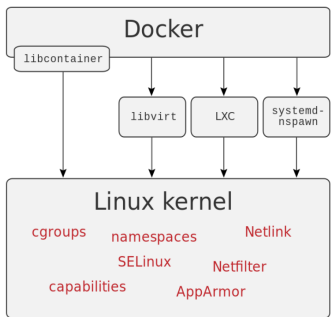


Figure: Docker Architecture

## Docker Architecture

- Docker uses the Linux Kernel
- libcontainer creates containers
- libvirt manages Virtual Environments
- LXC will be replaced by libcontainer

Source: [https://en.wikipedia.org/wiki/Docker\\_\(software\)](https://en.wikipedia.org/wiki/Docker_(software))

# Docker Application Architecture I

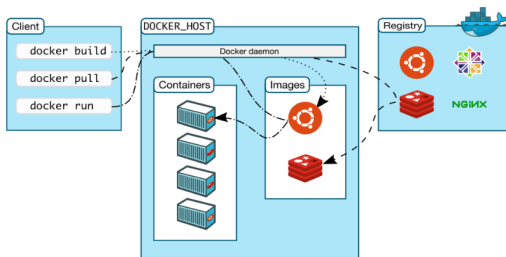


Figure: Docker Application Architecture

Source: <https://docs.docker.com/engine/docker-overview/#docker-architecture>

## Applications in Docker [2]

- Client-Server Architecture
- Docker Client docker
- Docker Daemon dockerd

## Docker Objects

- Images
- Containers

# Docker Application Architecture II

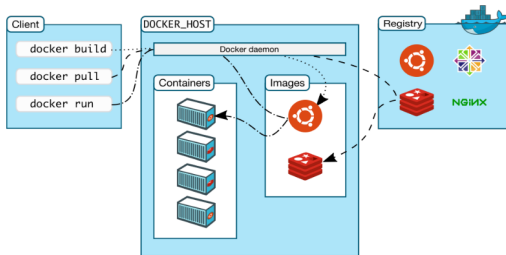


Figure: Docker Application Architecture

## Docker Client `docker`

- Manages Docker Daemon/s

## Docker Daemon `dockerd`

- Listens to Requests
- Manages Docker Objects (images, containers, etc.)

Source: <https://docs.docker.com/engine/docker-overview/#docker-architecture>

# Docker Application Architecture III

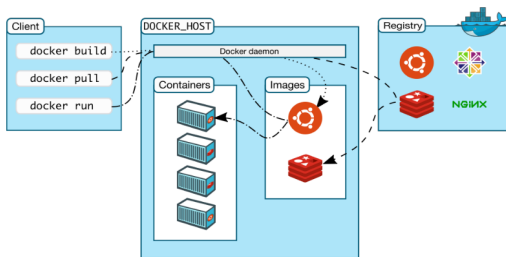


Figure: Docker Application Architecture

## Docker Objects

- **Containers**
  - Runnable Instance
  - Isolated from other containers
- **Images**
  - Read-Only File
  - Defines an Application

Source: <https://docs.docker.com/engine/docker-overview/#docker-architecture>



# Docker Benefits

Docker has the following benefits:

- Less resource consumption than OS Virtualization
- Isolation of Applications
- Fast deployment
- Perfect for testing purposes
- Containers can be restarted

## Docker Swarm and Kubernetes

The Docker Engine has a build in solution for Cluster deployment and management. The `swarm` mode enables the control over multiple Docker hosts and is crucial for the scalability of applications [3]. Kubernetes is a different system that enables deployment over multiple hosts.

# Function as a Service

Function as a Service (FaaS) has emerged as a new technology in Cloud Computing!

FaaS reduces administration tasks and brings the focus back to the Source Code! [4]

FaaS enables more effective event-driven applications!



# Function as a Service

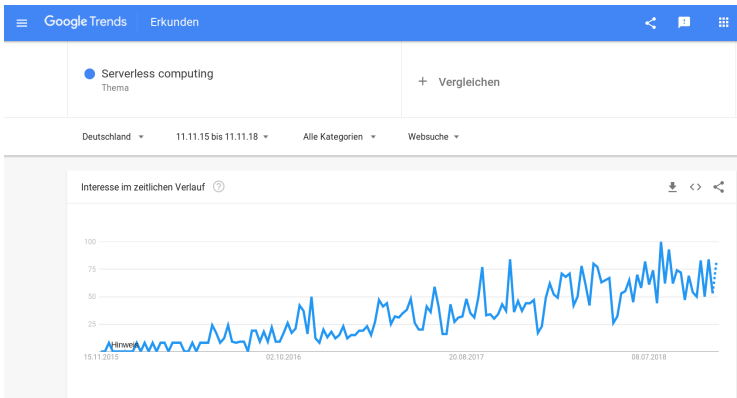


Figure: Google Trends for Serverless Computing

# Function as a Service



**Figure:** Popular FaaS Offerings:

- (a) AWS Lambda [5]
- (b) Google Cloud Functions [6]
- (c) IBM Cloud Functions [7]
- (d) Apache OpenWhisk [8]

## Function as a Service (FaaS)

- Event-driven
- Scalable
- Fast deployment of code
- Payment per invocation

### Amazon Alexa

Alexa Skills are executed in AWS Lambda!

# Public FaaS offerings – AWS Lambda



Figure: AWS Lambda [5]

### AWS Lambda

- Released in 2014
- Fully automated administration
- Automated Scaling
- Built in fault tolerance
- Support for multiple languages: Java, Node.js, C# and Python

# Public FaaS offerings – IBM Cloud Functions



Figure: IBM Cloud Functions [7]

## IBM Cloud Functions

- Released in 2016
- Event-driven Architecture
- Automated Scaling
- Apache OpenWhisk is basis of IBM Cloud Functions (No Vendor Lock-in!)
- Support for multiple languages: JavaScript, Python, Ruby, ...<sup>1</sup>

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<sup>1</sup>More on that in Section 4

# FaaS Generic Architecture I

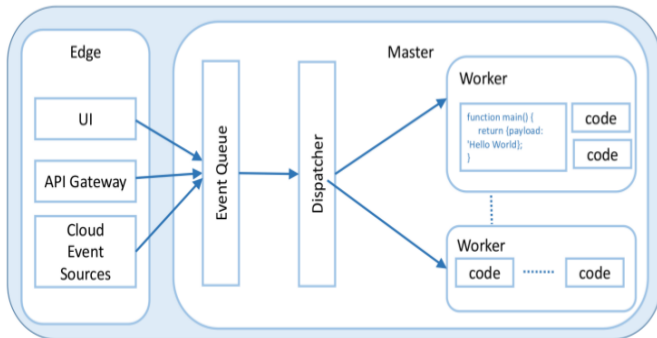


Figure: Generic FaaS Architecture [9]

# FaaS Generic Architecture II

## Edge

- **UI** – An UI for the management of functions
- **API Gateway** – The general API for the implemented functions

## Event Queue/Dispatcher

- **Event Queue** – Manages the triggered Events
- **Dispatcher** – Manages the scaling of invocations

## Worker

- **Worker Processes/Containers** – Execute the function invocations

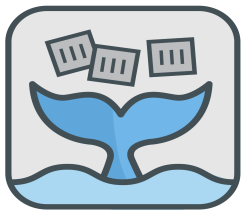
## Interesting Paper

Figure 10 and the explanation of the architecture are taken from the paper of Baldini et.al. [9]





# OpenFaaS



OPENFAAS

Figure: OpenFaaS

Source:

<https://github.com/openfaas>

## OpenFaaS

- Open Source Platform
- Functions can be deployed and scaled
- Event-driven
- Lightweight
- Support for multiple languages: C#, Node.js, Python, Ruby

# OpenFaaS Architecture I

## Functions as a Service

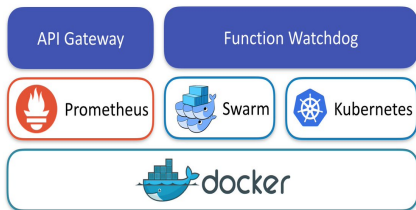


Figure: OpenFaaS Architecture [11]

## OpenFaaS Architecture [11]

- Gateway API

- Provides a Route to the functions
- UI for the management of functions
- Scales functions through Docker

- Function Watchdog

- Functions are added as Docker Images
- Entrypoint for HTTP Requests
- In → STDIN  
Out → STDOUT

# OpenFaaS Architecture II

## Functions as a Service

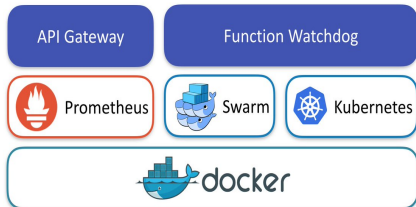


Figure: OpenFaaS Architecture [11]

## OpenFaaS Architecture [11]

- Prometheus

- Collects Metrics
- Function Metrics can be inspected
- Can be accessed through Web-UI

- Docker

- Isolates Functions in Docker Images
- Docker Swarm distributes functions
- Kubernetes can be used to orchestrate Docker Instances

# OpenFaaS Benefits

OpenFaaS has the following benefits:

- Open Source
- Low resource consumption
- Deployment of functions
- Autoscaling
- Build in Monitoring and Metrics (Prometheus)

## OpenFaaS on Raspberry Pi

OpenFaaS together with Docker Swarm have a low resource consumption. Therefore OpenFaaS has been installed on a cluster of 6 Raspberry Pis. Further evaluation of the service on Raspberry Pis has to be made. More information about installation on Raspberry Pi [12].

# Installing OpenFaaS

In order to work with OpenFaaS 3 packages need to be installed:

- Docker
- OpenFaaS Framework
- OpenFaaS CLI

# Installing Docker

## Install Docker:

```
$ curl -sSL https://get.docker.com | sh
```

## Add docker User to <USER> User Group:

```
$ usermod <USER> -aG docker <USER>
```

## Initialize Docker Swarm on Master Node:

```
$ docker swarm init
```

## Command on slaves to join workers to docker swarm cluster:

```
$ docker swarm join --token <TOKEN>
```

# Installing OpenFaaS

## Download OpenFaaS from github:

```
$ git clone https://github.com/alexellis/faas/
```

## Changing into directory and deploy OpenFaaS <sup>2</sup>:

```
$ cd faas && ./deploy_stack.armhf.sh
```

## Install OpenFaaS CLI:

```
$ curl -sSL cli.openfaas.com | sudo sh
```

---

<sup>2</sup>The script `deploy_stack.armhf.sh` is necessary for the ARM platform



# Creating Functions in OpenFaaS

Now that Docker and OpenFaaS have been installed  
deployment of functions can begin!



# Creating an application using Minio in OpenFaaS

## Application Flow <sup>3</sup>:

- The Application downloads an image and stores it in a Bucket
- The image is loaded from the Bucket and then converted to Black/White
- In the last step the image is stored in another Bucket
- The Application consists of **OpenFaaS** and **Minio** (a private object-based storage with S3-API)

**For this Application two Functions are needed!**

**OpenFaaS als leichtgewichtige Basis für eigene Functions as a Service.**

Henry-Norbert Cocos, Christian Baun. iX 9/2018, S.122-127, ISSN: 0935-9680

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<sup>3</sup>Source Code and explanation available at:

<https://blog.alexellis.io/openfaas-storage-for-your-functions/>

# Creating Directory for Function

**Create a functions directory:**

```
$ mkdir functions
```

**Change into this directory and issue the following command:**

```
$ cd functions && faas-cli new --lang python-armhf  
  loadimages  
$ faas-cli new --lang python-armhf processimages
```

# Templates for Python Functions

The command from the last slide will create the following files in the functions directory:

- loadimages/handler.py
- loadimages/requirements.txt
- loadimages.yml
  
- processimages/handler.py
- processimages/requirements.txt
- processimages.yml

# Install Minio

## Install Minio Client and Server as Docker Containers:

```
$ docker pull minio/mc
$ docker run minio/mc ls play
$ docker pull minio/minio
$ docker run -p 9000:9000 minio/minio server /data
```

# Start Minio Server

## Start Minio Server and get Credentials:

```
$ docker run -p 9000:9000 minio/minio server /data
...
Endpoint: http://172.17.0.2:9000
http://127.0.0.1:9000
AccessKey: <ACCESSKEY>
SecretKey: <SECRETKEY>
...
```

# Configure the Minio Client

In the next step the Minio Client has to be configured.

## Configure the Access:

```
$ ./mc config host add TestService http  
://192.168.178.21:9000 <ACCESSKEY> <SECRETKEY>
```

# Creating the Buckets

The Minio Client is used to create two Buckets.

## Creating the Buckets:

```
$ ./mc mb TestService/incoming  
$ ./mc mb TestService/processed
```

One Bucket for incoming Images and one for processed Images



# YAML File of Function loadimages

```
provider:
  name: faas
  gateway: http://192.168.178.21:8080

functions:
  loadimages:
    lang: python
    handler: ./loadimages
    image: loadimages
    environment:
      minio_hostname: "192.168.178.21:9000"
      minio_access_key: <ACCESSKEY>
      minio_secret_key: <SECRETKEY>
      write_debug: true
```

Listing 1: File loadimages.yml

# YAML File of Function processimages

```

provider:
  name: faas
  gateway: http://192.168.178.21:8080

functions:
  processimages:
    lang: python
    handler: ./processimages
    image: processimages
    environment:
      minio_hostname: "192.168.178.21:9000"
      minio_access_key: <ACCESSKEY>
      minio_secret_key: <SECRETKEY>
      write_debug: true

  convertbw:
    skip_build: true
    image: functions/resizer:latest
    fprocess: "convert --colorspace Gray fd:1"

```

Listing 2: File processimages.yml

# requirements.txt of the Functions

```
minio
requests
```

Listing 3: File requirements.txt

# loadimages Function in Python I

```
1 from minio import Minio
2 import requests
3 import json
4 import uuid
5 import os
6
7 def handle(st):
8     req = json.loads(st)
9
10    mc = Minio(os.environ['minio_hostname'],
11              access_key=os.environ['minio_access_key'],
12              secret_key=os.environ['minio_secret_key'],
13              secure=False)
14
15    names = []
16    for url in req["urls"]:
17        names.append(download_push(url, mc))
18    print(json.dumps(names))
```

Listing 4: File loadimages Part I

# loadimages Function in Python II

```
1 def download_push(url, mc):
2     # download file
3     r = requests.get(url)
4
5     # write to temporary file
6     file_name = get_temp_file()
7     f = open("/tmp/" + file_name, "wb")
8     f.write(r.content)
9     f.close()
10
11    # sync to Minio
12    mc.fput_object("incoming", file_name, "/tmp/"+file_name)
13    return file_name
14
15 def get_temp_file():
16     uuid_value = str(uuid.uuid4())
17     return uuid_value
```

Listing 5: File loadimages Part II

# processimages Function in Python I

```
1 from minio import Minio
2 import requests
3 import json
4 import uuid
5 import os
6
7 def handle(st):
8     req = json.loads(st)
9
10    mc = Minio(os.environ['minio_hostname'],
11              access_key=os.environ['minio_access_key'],
12              secret_key=os.environ['minio_secret_key'],
13              secure=False)
14
15    names = []
16    source_bucket = "incoming"
17    dest_bucket = "processed"
18
19    for file_name in req:
20        names.append(convert_push(source_bucket, dest_bucket,
21                                ↪ file_name, mc))
22
23    print(json.dumps(names))
```

Listing 6: File processimages Part I

# processimages Function in Python II

```

1 def convert_push(source_bucket, dest_bucket, file_name,
2     ↪ mc):
3     mc.fget_object(source_bucket, file_name, "/tmp/" +
4     ↪ file_name)
5
6     f = open("/tmp/" + file_name, "rb")
7     input_image = f.read()
8
9     # call function for b/w conversion
10    r = requests.post("http://gateway:8080/function/
11    ↪ convertbw", input_image)
12
13    # write to temporary file
14    dest_file_name = get_temp_file()
15    f = open("/tmp/" + dest_file_name, "wb")
16    f.write(r.content)
17    f.close()
18
19    # sync to Minio
20    mc.fput_object(dest_bucket, dest_file_name, "/tmp/" +
21    ↪ dest_file_name)
22
23    return dest_file_name
24
25 def get_temp_file():
26    uuid_value = str(uuid.uuid4())
27    return uuid_value

```

Listing 7: File processimages Part II



# Building and Deploying the Functions

## Build the Functions:

```
$ faas-cli build -f loadimages.yml  
$ faas-cli build -f processimages.yml
```

## Deploy the Functions:

```
$ faas-cli deploy -f loadimages.yml  
$ faas-cli deploy -f processimages.yml
```



# Downloading and Converting the images

## Download images into the incoming Bucket:

```
$ echo '{  
  "urls": [  
    "https://images.pexels.com/photos/72161/pexels-photo-  
      72161.jpeg?dl&fit=crop&w=640&h=318",  
    "https://images.pexels.com/photos/382167/pexels-photo-  
      382167.jpeg?dl&fit=crop&w=640&h=337"]  
}' | faas invoke loadimages
```

## Convert the images to grey and store in processed Bucket:

```
$ echo '["b0f38ebc-675c-43c1-ada7-8fb95dccee57", "34  
  d0ad5d-9a24-4b32-bc3e-25337f6f2f5d"]' | faas invoke  
  processimages
```

# Incoming Bucket in Minio

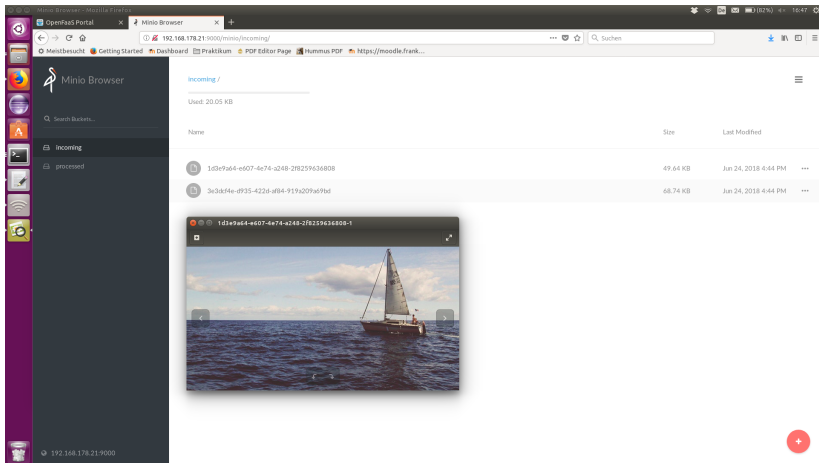


Figure: Incoming Bucket

# Processed Bucket in Minio

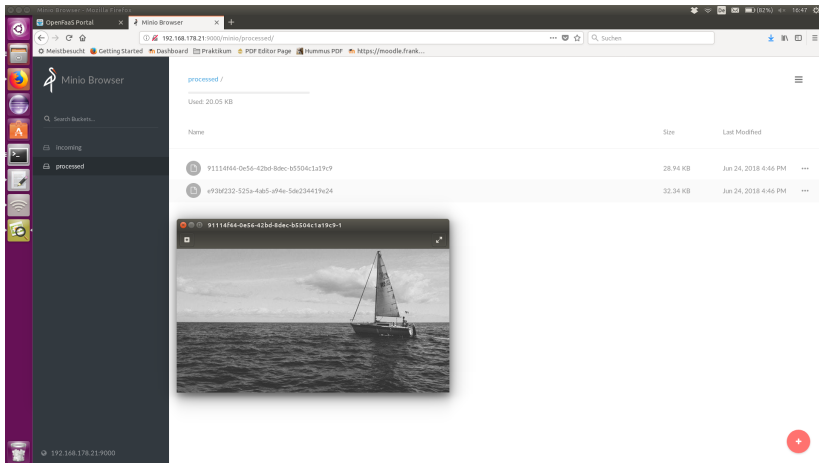


Figure: Processed Bucket

# OpenWhisk



Figure: OpenWhisk [8]

## OpenWhisk

- Open Source Platform
- Functions can be deployed in a production ready environment
- Support for multiple languages: JavaScript, Python 2, Python 3, PHP, Ruby, Swift
- C, C++, Go programs need to be compiled before upload, Java programs need to be uploaded as JAR-Archives

# OpenWhisk Architecture I

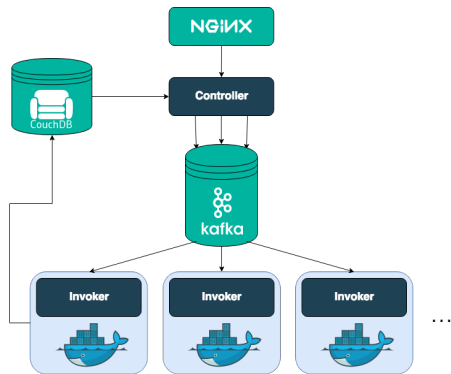


Figure: OpenWhisk Architecture

Source:

<https://tinyurl.com/y7plrxbw>

## OpenWhisk Architecture [8]

Components:

- Nginx
- Controller
- Kafka
- CouchDB
- Invoker

# OpenWhisk Architecture II

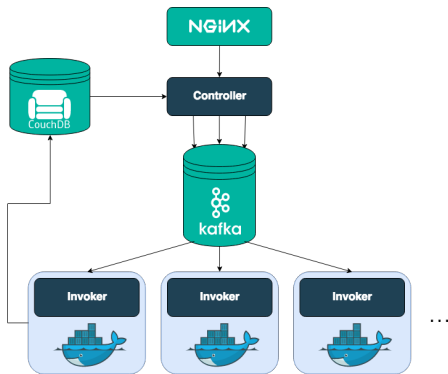


Figure: OpenWhisk Architecture

Source:

<https://tinyurl.com/y7plrxbw>

## • Nginx

- Loadbalancer for incoming requests
- Forwarding requests to the controller

## • Controller

- Checks incoming requests
- Controls the further action

## • Kafka

- Publish-Subscribe Messaging Service
- Queues the requests

# OpenWhisk Architecture III

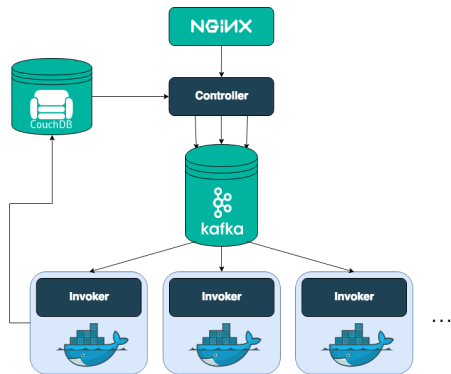


Figure: OpenWhisk Architecture

Source:

<https://tinyurl.com/y7plrxbw>

- CouchDB

- Authentication of requests (permission checking)
- Stores information on the imported Functions

- Invoker

- Docker Container(s) running the Function
- Each Invoker can be paused for faster request fulfillment





# Installing OpenWhisk – Docker Container

## Install OpenWhisk as Docker Containers:

```
$ git clone https://github.com/apache/incubator-  
    openwhisk-devtools.git  
$ cd incubator-openwhisk-devtools/docker-compose  
$ make quick-start
```



# Installing OpenWhisk – vagrant

## Install OpenWhisk with vagrant and VirtualBox:

```
$ git clone --depth=1 https://github.com/apache/
  incubator-openwhisk.git openwhisk
$ cd openwhisk/tools/vagrant
$ ./hello
```

# Installing OpenWhisk – Kubernetes

## Install OpenWhisk inside a Kubernetes Cluster:

```
$ minikube start --memory 4096 --kubernetes-version v1
.10.5
$ minikube ssh -- sudo ip link set docker0 promisc on
$ kubectl label nodes --all openwhisk-role=invoker
$ helm init --wait
$ kubectl create clusterrolebinding tiller-cluster-admin
\
--clusterrole=cluster-admin --serviceaccount=kube-system:
default
$ git clone https://github.com/apache/incubator-openwhisk
--deploy-kube
$ helm install ./incubator-openwhisk-deploy-kube/helm/
openwhisk/ \
--name openwhisk --wait --timeout 900 \
--set whisk.ingress.type=NodePort \
--set whisk.ingress.api_host_name=$(minikube ip) \
--set whisk.ingress.api_host_port=31001 \
--set nginx.httpsNodePort=31001
```

# Creating an application using MongoDB in OpenWhisk

## Application Flow <sup>4</sup>

- The Application manages the Stock of a Market
- For this task it stores the data in a database
- The Application receives parameters for product ID and number of items
- The Application consists of **OpenWhisk** and **MongoDB** (NoSQL) database

**Functions as a Service mit OpenWhisk.** Henry-Norbert Cocos, Marcus Legendre, Christian Baun. iX 12/2018, S.126-130, ISSN: 0935-9680

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<sup>4</sup>Source Code available at: <https://github.com/OrangeFoil/openwhisk-examples/tree/master/inventory>

[//github.com/OrangeFoil/openwhisk-examples/tree/master/inventory](https://github.com/OrangeFoil/openwhisk-examples/tree/master/inventory)

# Creating a function in OpenWhisk

```
1 import pymongo
2
3 mongo_url = 'mongodb+srv://user:password@example.org/
   ↪ database'
4 mongodb_client = pymongo.MongoClient(mongo_url)
5 mongodb = mongodb_client.my_database
6
7
8 def main(params):
9     product_id = params['product_id']
10    stock_change = int(params['stock_change'])
11
12    result = mongodb.inventory.find_one_and_update(
13        {'product_id': product_id},
14        {'$inc': {'count': stock_change}},
15        upsert=True,
16        return_document=pymongo.collection.ReturnDocument.AFTER
17    )
18
19    return {
20        'product_id': result['product_id'],
21        'count': result['count']
22    }
```

Listing 8: File `__main__.py`



# Creating Actions and Triggers

In the OpenWhisk platform events are characterized by **Trigger**. An **Action** is used to invoke the function. A **Rule** binds an **Action** to a **Trigger**.

## Creating an action for updating the database:

```
$ wsk action create updateInventory exec.zip --kind  
python:3
```

## Creating Triggers for increment and decrement operations:

```
$ wsk trigger create itemSold --param stock_change -1  
$ wsk trigger create itemRestocked --param stock_change  
1
```

# Creating a Rule and Trigger an Event

In order to invoke an action, a trigger needs to be fired. The Rule `restockRule` and `saleRule` are bound to the `updateInventory` action.

## Creating A Rule to combine Triggers and Actions:

```
$ wsk rule create restockRule itemRestocked  
    updateInventory  
$ wsk rule create saleRule itemSold updateInventory
```

## Firing the Trigger:

```
$ wsk trigger fire itemRestocked --param product_id 1234  
    --param stock_change 100  
$ wsk trigger fire itemSold --param product_id 5678
```



# Trigger an Event

```
$ wsk trigger fire itemRestocked -p product_id 42 -p stock_change 10
ok: triggered /_/itemRestocked with id f3204cd9dc16412ba04cd9dc16212b02
$ wsk activation result --last
{
  "count": 39,
  "product_id": 42
}
$ wsk trigger fire itemSold -p product_id 42
ok: triggered /_/itemSold with id ed476347731f4f75876347731fdf751f
$ wsk trigger fire itemSold -p product_id 42
ok: triggered /_/itemSold with id c1a15500f7e149c1a15500f7e1a9c144
$ wsk activation result --last
{
  "count": 37,
  "product_id": 42
}
```

Figure: Action Invocation

# OpenWhisk Benefits

OpenWhisk has the following benefits:

- Open Source
- Deployment of functions
- Autoscaling
- Robust and flexible (ideal for production)
- Migration to public offering IBM Cloud Functions possible

## OpenWhisk and IBM Cloud Functions

OpenWhisk is the basis of the public offering IBM Cloud Functions. Therefore applications developed for OpenWhisk can be ported to IBM Cloud Functions and vice versa without additional refactoring. This fact gives Enterprises more flexibility in developing their service offering!

# Conclusion

## Function as a Service characteristics:

- More fine grained business model (payment per invocation)
- Functions have no side effects, stateless model
- Scaling of functions with Container Virtualization (Docker)
- Shorter development and deployment cycles (DevOps)
- Suitable technology for microservices

## Outlook

FaaS is a new technology in the field of Cloud Platform Services. With the development of IoT, Smart Homes and other event-driven technologies the number of private FaaS Frameworks and public FaaS offerings will grow in the near future!

- [1] M. Eder, "Hypervisor- vs. Container-based Virtualization," [https://www.net.in.tum.de/fileadmin/TUM/NET/NET-2016-07-1/NET-2016-07-1\\_01.pdf](https://www.net.in.tum.de/fileadmin/TUM/NET/NET-2016-07-1/NET-2016-07-1_01.pdf), accessed December 11, 2018.
- [2] "Docker Docs," <https://docs.docker.com/>, accessed December 11, 2018.
- [3] "Docker Swarm," <https://docs.docker.com/engine/swarm/>, accessed December 11, 2018.
- [4] "Golem – Mehr Zeit für den Code," <https://www.golem.de/news/serverless-computing-mehr-zeit-fuer-den-code-1811-137516.html>, accessed December 11, 2018.
- [5] "AWS Lambda," <https://aws.amazon.com/lambda/>, accessed December 11, 2018.
- [6] "Google Cloud Functions BETA," <https://cloud.google.com/functions/>, accessed December 11, 2018.

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